

09/29/01

1036 U.S. PTO

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10-01-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

TUMB-102 CON2

First Inventor

DICKINSON

Title

E-MAIL FIREWALL WITH STORED KEY  
ENCRIPTION/DECRIPTION

Express Mail Label No

EL 790 640 278 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 19]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 09]
5. Oath or Declaration [Total Pages     ]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)of prior application No. 09/ 180,377

Prior application information:

Examiner UnassignedGroup Art Unit 2766

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



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Correspondence address below

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GUY PERRY

Registration No. (Attorney/Agent)

46,194

Signature

Date

Sept. 29, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : **Dickinson *et al.***

**CERTIFICATE OF MAILING UNDER 37 CFR § 1.10**  
"Express Mail" Mailing Label Number

Serial No. : Not Yet Assigned

EL 790 640 278 US

Filed : **Concurrently Herewith**

**Date of Deposit:** September 29, 2001

Title : **E-Mail Firewall with  
Stored Key Encryp-  
tion/Decryption**

I hereby certify that this paper and all enclosures referred to therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231  
**Name of Person Mailing:** Guy Perry

  
Signature of Person Mailing

**PATENT APPLICATION TRANSMITTAL LETTER AND FEE AUTHORIZATION**

Assistant Commissioner for Patents  
BOX PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Transmitted herewith are the following documents:

Specification, including claims, abstract and seven (7) figures (9 sheets);

Non-Publication Request;

Utility Patent Application Transmittal (PTO Form SB/05); and

Return Receipt Postcard.

The fees for submitting this patent application are estimated as follows:

0906717-090601  
"PT 2960"

**Fees**


				SMALL ENTITY		OTHER	
				Rate	Fee	Rate	Fee
Basic Fee					\$ 355.00		\$ 710.00
Total Claims	15	( - 20)	--	x 9.00	\$ --	x 18.00	\$
Indep. Claims	03	( - 3)	--	x 40.00	\$ --	x 80.00	\$
Multiple Dependent Claim(s):				--	\$135.00	\$ --	\$270.00
				TOTAL:	\$ 355.00	TOTAL	\$

The Commissioner is hereby authorized to charge the filing fee estimated to be **\$355.00** and any fees which may be required in connection with this submission, to Skadden, Arps, Slate, Meagher & Flom LLP **Deposit Account No. 19-2385**. (Please reference Attorney Docket No. **TUMB-102 CON2**).

☐ Priority of Application No. \_\_\_\_\_, filed \_\_\_\_\_ is claimed under 35 U.S.C. § 119.

Date: September 29, 2001

Respectfully submitted,

By:   
 GUY PERRY Reg. No. 46,194

Skadden, Arps, Slate, Meagher  
 & Flom LLP  
 Four Times Square  
 New York, New York 10036  
 (212) 735-3000

**Enclosures**

Express Mail Label No.: EL 790 640 278 US

FO6260" 4T729660

Express Mail Label No.: EL 790 640 278 US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s) : Dickinson *et al.* Group : Not Yet Assigned**  
**Serial No. : Not Yet Assigned Examiner : Not Yet Assigned**  
**Filed : Concurrently Herewith**  
**Title : E-Mail Firewall with  
Stored Key Encryp-  
tion/Decryption**

Assistant Commissioner for Patents  
Washington, DC 20231

**NON-PUBLICATION REQUEST UNDER 37 C.F.R. 1.213**

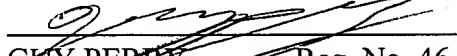
Dear Sir:

It is respectfully requested that the above-identified application not be published under 35 U.S.C. 122 (b) and 37 C.F.R. 1.211.

It is hereby certified that the invention disclosed in the application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Respectfully submitted,

Date: September 29, 2001

By:   
GUY PERRY Reg. No. 46,194

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106260" 27 29660